



**Patrick A. Sweeny  
David E. Connelly Jr.**

**Apella Capital, LLC**  
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**FORM ADV PART 2B  
BROCHURE SUPPLEMENT**

This brochure supplement provides information about Patrick A. Sweeny and David E. Connelly Jr. that supplements the Apella Capital, LLC, DBA Apella Wealth (“Apella”) brochure. You should have received a copy of that brochure. Please contact Timothy Richards at 860-781-6550 if you did not receive the brochure or if you have any questions about the contents of this supplement.

Additional information about these individuals is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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## ***Educational Background and Business Experience***

Form ADV Part 2B, Item 2

### **Patrick A. Sweeny**

*Year of Birth:* 1961

*Formal Education after High School:*

- Fairfield University, B.A. Economics, 1983

*Business Background for the Previous Five Years:*

- Mr. Sweeny is a member and manager of Apella.
- Mr. Sweeny co-founded Symmetry Partners, LLC (“Symmetry Partners”) in 1994 and has been a member and manager of the firm since that time. Prior to 1994, the last two positions Mr. Sweeny held were an institutional sales-trader on the equity desk with Weeden & Company, L.P. and an account executive with Dean Witter Reynolds.

### **Name: David E. Connelly Jr.**

*Year of Birth:* 1965

*Formal Education after High School:*

- Northeastern University, B.S., Finance 1988
- University of New Haven, M.B.A. in Finance 1991

*Business Background for Preceding Five Years*

- Mr. Connelly is a member and manager of Apella.
- Mr. Connelly co-founded Symmetry Partners, LLC (“Symmetry Partners”) in 1994 and has been a member and manager of the firm since that time. Prior to starting the firm in 1994, the last position Mr. Connelly held was an account executive with Dean Witter Reynolds.

### ***Disciplinary Information***

Form ADV Part 2B, Item 3

Apella is required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice.

#### **Patrick A. Sweeny**

No information is applicable to this item for Mr. Sweeny.

- A. Mr. Sweeny has never been subject to a criminal or civil action in a domestic, foreign or military court of competent jurisdiction in which the supervised person
1. was convicted of, or pled guilty or nolo contendere ("no contest") to (a) any felony; (b) a misdemeanor that involved investments or an investment-related business, fraud, false statements or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, or extortion; or (c) a conspiracy to commit any of these offenses;
  2. is the named subject of a pending criminal proceeding that involves an investment-related business, fraud, false statements or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, extortion, or a conspiracy to commit any of these offenses;
  3. was found to have been involved in a violation of an investment-related statute or regulation; or
  4. was the subject of any order, judgment, or decree permanently or temporarily enjoining, or otherwise limiting, the supervised person from engaging in any investment-related activity, or from violating any investment-related statute, rule, or order.
- B. Mr. Sweeny has never been subject to an administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority in which the supervised person
1. was found to have caused an investment-related business to lose its authorization to do business; or
  2. was found to have been involved in a violation of an investment-related statute or regulation and was the subject of an order by the agency or authority
    - a) denying, suspending, or revoking the authorization of the supervised person to act in an investment-related business;
    - b) barring or suspending the supervised person's association with an investment-related business;
    - c) otherwise significantly limiting the supervised person's investment-related activities; or
    - d) imposing a civil money penalty of more than \$2,500 on the supervised person.
- C. Mr. Sweeny has never been subject to a self-regulatory organization (SRO) proceeding in which the supervised person
1. was found to have caused an investment-related business to lose its authorization to do business; or
  2. was found to have been involved in a violation of the SRO's rules and was: (i) barred or suspended from membership or from association with other members, or was expelled from membership; (ii) otherwise significantly limited from investment-related activities; or (iii) fined more than \$2,500.

Form ADV Part 2B, Item 3

- D. Mr. Sweeny has never been subject to any other proceeding in which a professional attainment, designation, or license of the supervised person was revoked or suspended because of a violation of rules relating to professional conduct.

**David E. Connelly Jr.**

No information is applicable to this item for Mr. Connelly.

- A. Mr. Connelly has never been subject to a criminal or civil action in a domestic, foreign or military court of competent jurisdiction in which the supervised person
1. was convicted of, or pled guilty or nolo contendere ("no contest") to (a) any felony; (b) a misdemeanor that involved investments or an investment-related business, fraud, false statements or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, or extortion; or (c) a conspiracy to commit any of these offenses;
  2. is the named subject of a pending criminal proceeding that involves an investment-related business, fraud, false statements or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, extortion, or a conspiracy to commit any of these offenses;
  3. was found to have been involved in a violation of an investment-related statute or regulation; or
  4. was the subject of any order, judgment, or decree permanently or temporarily enjoining, or otherwise limiting, the supervised person from engaging in any investment-related activity, or from violating any investment-related statute, rule, or order.
- B. Mr. Connelly has never been subject to an administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority in which the supervised person
1. was found to have caused an investment-related business to lose its authorization to do business; or
  2. was found to have been involved in a violation of an investment-related statute or regulation and was the subject of an order by the agency or authority
    - a) denying, suspending, or revoking the authorization of the supervised person to act in an investment-related business;
    - b) barring or suspending the supervised person's association with an investment-related business;
    - c) otherwise significantly limiting the supervised person's investment-related activities; or
    - d) imposing a civil money penalty of more than \$2,500 on the supervised person.
- C. Mr. Connelly has never been subject to a self-regulatory organization (SRO) proceeding in which the supervised person
1. was found to have caused an investment-related business to lose its authorization to do business; or
  2. was found to have been involved in a violation of the SRO's rules and was: (i) barred or suspended from membership or from association with other members, or was expelled from membership; (ii) otherwise significantly limited from investment-related activities; or (iii) fined more than \$2,500.
- D. Mr. Connelly has never been subject to any other proceeding in which a professional attainment, designation, or license of the supervised person was revoked or suspended because of a violation of rules relating to professional conduct.

### ***Other Business Activities***

Form ADV Part 2B, Item 4

#### **Patrick A. Sweeny**

- Mr. Sweeny is manager, member and investment adviser representative of Apella and Symmetry Partners.
- Mr. Sweeny is a licensed insurance agent with various insurance companies. He does not offer clients advice or products related to insurance activities. He may receive trailer commissions from insurance companies on the products he previously offered with Apella.

#### **David E. Connelly Jr.**

- Mr. Connelly is manager, member and investment adviser representative of Apella and Symmetry Partners.
- Mr. Connelly is a licensed insurance agent with various insurance companies. He does not offer clients advice or products related to insurance activities. He may receive trailer commissions from insurance companies on the products he previously offered with Apella.

### ***Additional Compensation***

Form ADV Part 2B, Item 5

Mr. Patrick A. Sweeny does not receive any additional compensation such as, but not limited to, sales awards, other prizes and from any other source outside of Apella and Symmetry Partners.

Mr. David E. Connelly Jr. does not receive any additional compensation such as, but not limited to, sales awards, other prizes and from any other source outside of Apella and Symmetry Partners.

### ***Supervision***

Form ADV Part 2B, Item 6

Mr. Sweeny is supervised by David E. Connelly Jr., member and manager of Apella. Mr. Connelly can be reached at 860-734-2083.

Mr. Connelly is supervised by Patrick A. Sweeny, member and manager of Apella. Mr. Sweeny can be reached at 860-734-2081.