## ITEM 1 - COVER PAGE

# ADV PART 2B BROCHURE

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This Form ADV 2B ("Brochure Supplement") provides information about the background and qualifications of Mark Broderick (CRD # *7265945*). The information in this brochure supplements the Part 2A brochure of Apella Capital, LLC d/b/a Apella Wealth (hereinafter "Apella" or "firm"), which you should have received a copy of. Please contact our Chief Compliance Officer at trichards@apellawealth.com if you did not receive Apella's Part 2A brochure or have any questions about the contents of this supplement. Additional information about Mark Broderick is available on the SEC's website at www.adviserinfo.sec.gov.

## ITEM 2 – EDUCATIONAL BACKGROUND & BUSINESS EXPERIENCE

### MARK BRODERICK

- CRD #: 7265945
- YEAR OF BIRTH: 1998

### EDUCATIONAL BACKGROUND:

- 2020: Loras College; BA Finance

### BUSINESS BACKGROUND:

- 2025 – Present: Apella Wealth; Wealth Advisor
- 2020 – 2025: Iron Horse Wealth Management; Investment Advisor

## ITEM 3: DISCIPLINARY INFORMATION

Registered investment advisers must disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice.

Mark Broderick has no history of any legal or disciplinary events deemed material to a client's consideration of Mark Broderick to act as their investment adviser representative. FINRA's BrokerCheck® system and the Investment Adviser Public Disclosure system provide additional information regarding the registration and disciplinary history of Mark Broderick. Please visit FINRA's BrokerCheck® system at https://brokercheck.finra.org or the IAPD system at www.adviserinfo.sec.gov for additional information.

## ITEM 4: OTHER BUSINESS ACTIVITIES

Mark Broderick does not engage in any other investment-related business or occupation outside of Apella Wealth.

## ITEM 5: ADDITIONAL COMPENSATION

Mark Broderick does not receive any economic benefit outside of the salaries and bonuses described in Item 4 of this brochure or on Form ADV Part 2A Items 10 and 12.

## ITEM 6: SUPERVISION

Mark Broderick is supervised by Dennis Markway, Regional Director. The Regional Director is responsible for overseeing the day-to-day advisory activities conducted by Mark Broderick. The Regional Director conducts periodic reviews of the IAR's advisory work to ensure it is consistent with applicable regulatory requirements and the firm's compliance program. Dennis Markway can be reached at 515-779-6250.

Ultimate supervisory authority and responsibility for compliance matters rests with the firm's Chief Compliance Officer (CCO), Timothy Richards, who monitors the overall effectiveness of the firm's supervisory structure. The CCO may review the IAR's activities as part of the firm's broader compliance testing program, including reviews of client files, trade activity, and communications, as warranted.